PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE r the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/746,192 TRANSMITTAL Filing Date December 21, 2000 **FORM** La The **First Named Inventor** James A Parker (to be used for all correspondence after initial filing) Group Art Unit 2876 Examiner 'Name Thien Minh Le Total Number of Pages in This Submission Attorney Docket Number 283-205.03 Con ENCLOSURES (check all that apply) After Allowance Communication to Fee Transmittal Form ☐ Drawing(s) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to Group Amendment / Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): Request for Refund One check for \$970 (Request for Express Abandonment Request Continued Examination Fee and CD, Number of CD(s) Information Disclosure Statement Fee), PTO/SB/30 Request for Continued Examination Transmittal (1 pg.), PTO/SB/08A (1 pg.), One copy of cited reference AA and return mail room postcard The Commissioner is authorized to charge any additional fees Certified Copy of Priority Remarks to Deposit Account No. 50-0289. Document(s) Response to Missing Parts/ Incomplete Application Express Mail Label No. EV561776065US Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Wall Marjama & Bilinski LLP Firm and George S. Blasiak Reg. No. 37,283 Individual name

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as express mail (Express Mail Label No. EV561776065US) in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

April 4, 2005

Typed or printed name Barbara A. Saltsman

Signature

April 4, 2005

Signature Date

Date April 4, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| | FILIN | G FEES | SE | ARCH FEES | EXAM | INATION FEES | | |
| Application Type | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | - 1 | es Paid (\$) |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | | |
| 2. EXCESS CLAIM FEES | | | | | | • | | Small Entity |
| Fee Description | | | | | | | Fee (\$) | Fee (\$) |
| Each claim over 20 or, for Re | eissues, each c | laim over 20 and | d more th | an in the original | patent | | 50 | 25 |
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| Multiple dependent claims | | | | | | | 360 | 180 |
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| 3. APPLICATION SIZE F | | | | | 50 (0105.0 | 11 | 1 1.11.11 | 60 1 |
| If the specification and drawing fraction thereof. See 35 U.S.C. | 41(a) (1)(G) an | d 37 CFR 1.16(s). | | | | | acn additional | |
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| SUBMITTED BY | | | | | | | | - |
| Signature | Der S | 5 Blein | | | Registration (Attorney/A | No. 37,283 gent) | Telephone | 315-425-9000 |
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Name (Print/Type) George S. Blasiak

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time your require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

United States Patent and APR 0 4 2005

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

| APPLICATION NO. | FILIN | IG DATE | FIRST NAMED INV | ENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|----------------------------|----------------|------------|---|-------|-------------------------|------------------|
| 10/328,939 | 12/2 | 23/2002 | Andrew Longaci | e JR. | 283-361.01 | . 7049 |
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WALL MARJAMA & BILINSKI LLP

Please find below and/or attached an Office communication concerning this application or proceeding.

| APR 0 4 2005 44 | Application No. | Applicant(s) |
|---|---|--|
| Office Action Summary | 10/328,939 | LONGACRE ET AL. |
| Office Action Summary | Examiner | Art Unit |
| The MAN INC DATE of the | Thien M. Le | 2876 |
| - The MAILING DATE of this communication app Period for Reply | rears on the cover sheet with the | e correspondence address |
| A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | 36(a). In no event, however, may a reply be y within the statutory minimum of thirty (30) d yill apply and will expire SIX (6) MONTHS fro cause the application to become ABANDOR | timely filed ays will be considered timely. In the mailing date of this communication. |
| Status | • | · |
| 1)⊠ Responsive to communication(s) filed on <u>26 M</u> | av 2004 | |
| _ | action is non-final. | · |
| 3)☐ Since this application is in condition for allowar | | rosecution as to the merits is |
| closed in accordance with the practice under E | | |
| Disposition of Claims | | |
| 4)⊠ Claim(s) <u>54-91</u> is/are pending in the application | | |
| 4a) Of the above claim(s) is/are withdraw | | |
| 5) Claim(s) is/are allowed. | m nom consideration. | |
| 6)⊠ Claim(s) <u>54-58 and 63-91</u> is/are rejected. | • | |
| 7)⊠ Claim(s) <u>59-62</u> is/are objected to. | | · |
| 8) Claim(s) are subject to restriction and/or | election requirement. | |
| Application Papers | | |
| 9) The specification is objected to by the Examiner | | |
| 10) ☐ The drawing(s) filed on 26 May 2004 is/are: a) | | by the Evaminor |
| Applicant may not request that any objection to the d | Irawing(s) be held in abeyance So | 29 37 CER 1 85(2) |
| Replacement drawing sheet(s) including the correction | | |
| 11) The oath or declaration is objected to by the Exa | | |
| Priority under 35 U.S.C. § 119 | | |
| <u> </u> | anianika anada a DE H. O. O. O. 4404 | |
| 12) Acknowledgment is made of a claim for foreign p a) All b) Some * c) None of: | priority under 35 U.S.C. § 119(a | a)-(d) or (f). |
| 1. Certified copies of the priority documents | have been received | |
| 2. Certified copies of the priority documents | | tion No |
| 3. Copies of the certified copies of the priorit | | |
| application from the International Bureau | | · · · · · · · · · · · · · · · · · · · |
| * See the attached detailed Office action for a list o | f the certified copies not receiv | ed. |
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| Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) | 4) Interview Summary Paper No(s)/Mail D | |
| 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) 🔲 Notice of Informal I | Patent Application (PTO-152) |
| Paper No(s)/Mail Date | 6) Other: | |

Art Unit: 2876

DETAILED ACTION

The amendment filed on 5/26/2004 has been entered. The information disclosure statements filed on 5/26/2004; 7/23/2004; and 11/8/2004 have been entered. Claims 1-53 have been canceled. Claims 54-91 are presented for examination.

Specification

Substitute specification has been entered.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

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The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.

2. Ascertaining the differences between the prior art and the claims at issue.

3. Resolving the level of ordinary skill in the pertinent art.

4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 54-58, and 63-91 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tani et al. (herein after referred as Tani – 6,011,880; newly cited) in view of Koenck et al. (herein after referred as Koenck – 5,837,987).

Regarding claim 54, Tani discloses a hand-held bar code reader comprising: a CCD 43, and an A/D converter 9 which is used for converting each signal representing an amount of light incident to each of said plurality of pixels into an m-bit digital data, wherein m is greater than one. According to Tani, the image signal (analog) output by CCD 43 is amplified by an amplifier 8 and fed to the A/D converter 9 where it is converted into an 8 bit digital image signal (having 256 steps of gradation). The amplified image signal (analog) is also transmitted to the terminal 21. A CPU 15 is used to decode the converted digital values.

The claim differs in calling for a linear image sensor. However, this claimed limitation is noted new. Reference to Koenck is cited as an evident the use of linear image sensors (13a, 13b, 13c, see Figures 13-15 and their descriptions)in bar code scanning systems. Thus, it would have been obvious to use a linear image sensor in

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the system as taught by Tani. The modification extends the application of Tani's teachings to other type of bar code reader utilizing one dimensional image sensor.

Regarding claim 55, see the discussions regarding claim 1. Further, the scanner as taught in Tani decodes scanned signal in real time.

Regarding claim 56, Tani shows in figure 3 a main memory 12 and a non-volatile memory 13 for storing data which would thus embrace all limitations set forth in this claim.

Regarding claim 57, see figure 1 of Tani for computer 32 comprising a processor which is considered as the claimed external processor.

Regarding claim 58, Tani discloses m can take a value of 8 or 16 which thus would embrace the limitations set forth in this claim.

Regarding claims 63-64, see the discussions set forth above.

Regarding claim 65, Tani shows in figure 1 a CCD drive circuit 6 and a Synchronous Signal Generation circuit 7 which would embrace all limitations set forth in this claim.

Regarding claim 66, see figure 1 of Tani for display 20 and monitor 33.

Regarding claim 67, Tani fails to disclose the specifics of the display and the monitor. However, it would have been obvious to use a liquid crystal display having the claimed characteristics in Tani's system. The modification is considered as replacing one type of display/monitor with another without changing the underlying inventiveness concepts of Tani; and also is well within the skill levels and expectations of an ordinary skilled artisan.

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Regarding claims 68-69, see figure 1 of Tani for I/O terminal 21, and a cable 34 for connecting to peripheral devices. In light of the use of the cable 34, the examiner is of the view that the terminal 21 is a RS-232 port.

Regarding claims 70-71, see the discussions above. The claims differ in calling for a beeper, or an enunciator for signaling a user. However, this claimed limitation is not new. Official Notice is taken of the use of beeping or enunciating devices in commercially available scanning systems which can readily be found in department stores, retails and groceries stores. Thus, though Tani is silent about the use of a beeper or an enunciator, an ordinary skilled artisan would readily found that implementing such a device in Tani's reader would enhance the overall performance of the system.

Regarding claim 72, see the discussions above regarding the linear image sensing arrays.

Regarding claim 73, Tani discloses the use of laser light 41 (see col. 4 and descriptions of figure 1 of Tani).

Regarding claims 74-75, see figure 1 of Tani.

Regarding claim 76, see the discussions above. The claim differs in calling for the use of aiming light and respective operations. However, this claimed limitation is not new. Reference to Koenck (cited above) also discloses the conventionality of the aiming lights. Specifically, figures 52 and 45 of Koenck shows an embodiment in of the scanner having flashable light sources and aiming aids such as marker sources. Koenck further discloses the method of disabling non-active scanning components for

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energy conservation which inherently include the steps of disabling the marker sources in the claimed manners.

Regarding claim 78, see figure 3 for the memories of the scanner.

Regarding claims 79-91, all limitations have been discussed above. Specifically, the CCD and the A/D converter are shown in figure 3 of Tani as two separate devices which inherently implying that they are formed on two different integrated circuit chips.

Allowable Subject Matter

Claims 59-62 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

The following is a statement of reasons for the indication of allowable subject matter: The prior art fails to disclose a bar code reader comprising: a one-dimensional image sensor; the step of capturing and converting the captured into N-bit digital values, decoding the converted valued and further comprising the limitations set for in claims 59-62 such as: the step of comparing the different of a square of gray scale value; the step of associating with a candidate symbol region, etc.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thien M. Le whose telephone number is (571) 272-

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2396. The examiner can normally be reached on Monday - Friday from 7:30am - 4:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael G. Lee can be reached on (571) 272-2398. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Le, Thien Minh Primary Examiner Art Unit 2876 February 3, 2005 OLE E

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Approved for use through 10/31/2002, OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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INFORMATION DISCLOSURE

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STATEMENT BY APPLICANT

Sheet 1 of 4

| Complete if Known | | | | | |
|------------------------|----------------------|--|--|--|--|
| Application Number | 10/328,939 | | | | |
| Filing Date | December 23, 2002 | | | | |
| First Named Inventor | Andrew Longacre, Jr. | | | | |
| Art Unit | 2876 | | | | |
| Examiner Name | Le, Thien Minh | | | | |
| Attorney Docket Number | 283-361.01 | | | | |

2/5/2005

| | | Document Numbor | | T | |
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| xaminer | Cite No.1 | Number Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentoe or Applicant of Cited Document | Pages, Columns, Linas, Where Relevent Passages or Relevent Figures Appear |
| Sal. | AA | 4,721,849 | 01/26/1988 | Davis et al. | |
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| 1 | ВН | 5,532,692 | 07/02/1996 | Tatsuya | |
| + | BI | 5,550,364 | 8/27/1996 | Rudeen | |
| 700 | BJ | 5,557,095 | 09/17/1996 | Clark et al. | |

EXAMINER: Initial If reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Considered

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Signature

¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid CMB control number.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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|------------------------|----------------------|--|--|--|--|--|
| Application Number | 10/328,939 | | | | | |
| Filing Date | December 23, 2002 | | | | | |
| First Named Inventor | Andrew Longacre, Jr. | | | | | |
| Art Unit | 2876 | | | | | |
| Examiner Name | Le, Thien Minh | | | | | |
| Attorney Docket Number | 283-361.01 | | | | | |

| xaminer | Cite No. | Number Kind Code ² (if known) | Publication Date MM-OD-YYYY | Name of Patentae or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant |
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| | BL | 5,579,487 | 11/26/1996 | Meyerson et al. | |
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| Application Number | 10/328,939 | |
| Filing Date | December 23, 2002 | |
| First Named Inventor | Andrew Longacre, Jr. | |
| Art Unit | 2876 | |
| Examiner Name | Le, Thien Minh | |
| Attorney Docket Number | 283-361.01 | |

| | | Document Number | | | |
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| Application Number | 10/328,939 | |
| Filing Date | December 23, 2002 | |
| First Named Inventor | Andrew Longacre, Jr. | |
| Art Unit | 2876 | |
| Examiner Name | Le, Thien Minh | |
| Attorney Docket Number | 283-361.01 | |

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perwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a valid OMB control number. or form 1449A/PTO Complete if Known **Application Number** 10/328,939 INFORMATION DISCLOSURE Filing Date December 23, 2002 STATEMENT BY APPLICANT First Named Inventor Andrew Longacre Jr. 2876 Art Unit (use as many sheets as necessary) **Examiner Name** Le, Thien Minh Sheet of 283-361.01 Attorney Docket Number

| U.S. PATENT DOCUMENTS | | | | | |
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| Examiner | Cite No.1 | Document Number Number Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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Substitute for form 1449B/PTO Complete if Known **Application Number** 10/328,939 INFORMATION DISCLOSURE Filing Date December 23, 2002 STATEMENT BY APPLICANT First Named Inventor Andrew Longacre, Jr. Art Unit 2876 (use as many sheets as necessary) **Examiner Name** Le, Thien Minh Sheet of 1 **Attorney Docket Number** 283-361.01

| | | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | | | |
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| Examiner Cite Included Include | | Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | | |
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| Examiner Signature LE, THEW | Date Considered | 2/3/2005 |
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| First Named Inventor | Andrew Longacre, Jr. | | | |
| Art Unit | 2876 | | | |
| Examiner Name | Thien Minh Le | | | |
| Attorney Docket Number | 283-361.01 | | | |

| | | | U.S. PATENT DO | CUMENTS | |
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| W | AA | US- Patent Application No. 09/385,597. Filed August 30, 1999. (Attached is a listing of pending claims) | Filed 08-30-1999 | Parker et al. | rigures Appear |
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| Examiner Signature | LE STRIGH | Date Considered | 2/3/2005 | |
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Sheet 2 of 4

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| Application Number | 10/328,939 | | | |
| Filing Date | December 23, 2002 | | | |
| First Named Inventor | Andrew Longacre, Jr. | _ | | |
| Art Unit | 2876 | _ | | |
| Examiner Name | Thien Minh Le | _ | | |
| Attorney Docket Number | 283-361.01 | | | |

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| Filing Date | December 23, 2002 | | | |
| First Named Inventor | Andrew Longacre, Jr. | | | |
| Art Unit | 2876 | | | |
| Examiner Name | Thien Minh Le | | | |
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Filing Date December 23, 2002

First Named Inventor Andrew Longacre, Jr.

Art Unit 2876

Examiner Name Thien Minh Le

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